

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: GREENSTEIN, STEVEN LEON

§ Case No. 12-13151-SCC

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Debtor(s)

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED  
AND APPLICATION TO BE DISCHARGED (TDR)**

Alan Nisselson, Trustee, chapter 7 trustee, submits this Final Account,  
Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$679,781.24</u> (without deducting any secured claims)	Assets Exempt: <u>\$241,420.63</u>
Total Distribution to Claimants: <u>\$11,977.79</u>	Claims Discharged Without Payment: <u>\$13,019,480.94</u>
Total Expenses of Administration: <u>\$13,022.21</u>	

3) Total gross receipts of \$ 25,000.00 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$ 0.00 (see **Exhibit 2**), yielded net receipts of \$25,000.00 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$0.00	\$300,099.73	\$0.00	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	0.00	13,022.21	13,022.21	13,022.21
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	0.00	0.00	0.00	0.00
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	12,968,521.03	13,021,729.41	13,006,990.94	11,977.79
<b>TOTAL DISBURSEMENTS</b>	\$12,968,521.03	\$13,334,851.35	\$13,020,013.15	\$25,000.00

4) This case was originally filed under Chapter 7 on July 20, 2012.  
The case was pending for 39 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 11/02/2015 By: /s/Alan Nisselson, Trustee  
Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 –GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
2772 Shares of Homstead International	1129-000	10,000.00
American Express Preference Settlement	1241-000	15,000.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$25,000.00</b>

<sup>1</sup>The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

**EXHIBIT 2 –FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		
<b>TOTAL FUNDS PAID TO DEBTOR AND THIRD PARTIES</b>			<b>\$0.00</b>

**EXHIBIT 3 –SECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
5	Citibank, N.A.	4110-000	N/A	300,099.73	0.00	0.00
<b>TOTAL SECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$300,099.73</b>	<b>\$0.00</b>	<b>\$0.00</b>

**EXHIBIT 4 –CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Alan Nisselson, Trustee	2100-000	N/A	3,250.00	3,250.00	3,250.00
Alan Nisselson, Trustee	2200-000	N/A	29.00	29.00	29.00
Windels Marx Lane & Mittendorf, LLP	3110-000	N/A	8,700.00	8,700.00	8,700.00
Windels Marx Lane & Mittendorf, LLP	3120-000	N/A	280.33	280.33	280.33

Joseph A. Broderick, P.C.	3410-000	N/A	450.00	450.00	450.00
Rabobank, N.A.	2600-000	N/A	11.50	11.50	11.50
Rabobank, N.A.	2600-000	N/A	13.40	13.40	13.40
Rabobank, N.A.	2600-000	N/A	13.86	13.86	13.86
Rabobank, N.A.	2600-000	N/A	15.28	15.28	15.28
Rabobank, N.A.	2600-000	N/A	14.30	14.30	14.30
International Sureties, Ltd.	2300-000	N/A	5.69	5.69	5.69
Rabobank, N.A.	2600-000	N/A	13.80	13.80	13.80
Rabobank, N.A.	2600-000	N/A	15.68	15.68	15.68
Rabobank, N.A.	2600-000	N/A	13.75	13.75	13.75
Rabobank, N.A.	2600-000	N/A	15.16	15.16	15.16
Rabobank, N.A.	2600-000	N/A	14.66	14.66	14.66
Rabobank, N.A.	2600-000	N/A	18.50	18.50	18.50
Rabobank, N.A.	2600-000	N/A	40.48	40.48	40.48
Rabobank, N.A.	2600-000	N/A	35.66	35.66	35.66
Rabobank, N.A.	2600-000	N/A	33.23	33.23	33.23
Rabobank, N.A.	2600-000	N/A	37.93	37.93	37.93
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		N/A	\$13,022.21	\$13,022.21	\$13,022.21

**EXHIBIT 5 –PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
<b>TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES</b>		N/A	\$0.00	\$0.00	\$0.00

**EXHIBIT 6 –PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
None						
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			\$0.00	\$0.00	\$0.00	\$0.00

**EXHIBIT 7 –GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	American Express Centurion Bank	7100-000	6,689.39	6,689.39	0.00	0.00
2	American Express Centurion Bank	7100-000	8,049.08	8,049.08	0.00	0.00
3	Broome & Wellington LP	7100-000	12,600,692.77	12,678,368.27	12,678,368.27	11,675.17
4	Homestead International Group, Ltd.	7100-000	328,622.00	328,622.67	328,622.67	302.62
NOTFILED	Citi Bank	7100-000	13,529.00	N/A	N/A	0.00
NOTFILED	Retail Services	7100-000	504.00	N/A	N/A	0.00
NOTFILED	Retail Services	7100-000	861.00	N/A	N/A	0.00
NOTFILED	Bank Of America	7100-000	6,570.00	N/A	N/A	0.00
NOTFILED	Cardmember Service	7100-000	77.00	N/A	N/A	0.00
NOTFILED	Capital One	7100-000	0.00	N/A	N/A	0.00
NOTFILED	Citi Bank	7100-000	2,926.79	N/A	N/A	0.00
NOTFILED	Crate & Barrel	7100-000	0.00	N/A	N/A	0.00
NOTFILED	PC Richard	7100-000	0.00	N/A	N/A	0.00
NOTFILED	Dell	7100-000	0.00	N/A	N/A	0.00
NOTFILED	Bloomingdales	7100-000	0.00	N/A	N/A	0.00
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$12,968,521.03</b>	<b>\$13,021,729.41</b>	<b>\$13,006,990.94</b>	<b>\$11,977.79</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 12-13151-SCC  
**Case Name:** GREENSTEIN, STEVEN LEON

**Trustee:** (521090) Alan Nisselson, Trustee  
**Filed (f) or Converted (c):** 07/20/12 (f)  
**§341(a) Meeting Date:** 08/16/12  
**Claims Bar Date:** 10/15/13

**Period Ending:** 11/02/15

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1 10 Tournament Dr., White Plains NY Single family home co-owned with non Debtor spouse	549,110.50	0.00		0.00	FA
2 TD Bank Account	3,000.00	0.00		0.00	FA
3 Citibank Account	3,750.00	3,061.00		0.00	FA
4 Royal Bank of Scotland Amounts are in Pounds Sterling	86.00	86.00		0.00	FA
5 Home furnishings, computer, TVs, dvd players. I	1,500.00	0.00		0.00	FA
6 Normal wearing apparel	200.00	0.00		0.00	FA
7 Wedding band, watch, pendant	380.00	0.00		0.00	FA
8 Term Life Insurance Policy	0.00	0.00		0.00	FA
9 401K	85,601.63	0.00		0.00	FA
10 2772 Shares of Homstead International 2,772 Shares of Homstead International and 161,400 Options to purchase shares of Li & Fung Ltd. (the "Shares and Options"). The Trustee and Homestead entered into an agreement pursuant to which Homestead agreed to purchase the Shares and Options for \$10,000.00. That agreement was approved pursuant to an Order dated 9/11/2013. (Doc. No. 51).	1.00	1.00		10,000.00	FA
11 Judgment against Broome & Wellington Broome & Wellington has a Judgment against the Debtor in an amount far in excess of the Debtor's Judgment against Broome & Wellington.	30,823.00	30,823.00		0.00	FA
12 Travel reimbursement from Li & Fung	4,980.11	4,980.11		0.00	FA
13 Family Dog	50.00	0.00		0.00	FA
14 Cell Phone	300.00	300.00		0.00	FA
15 American Express Preference Settlement (u) The Trustee and American Express entered into a stipulation pursuant to which American Express agreed to pay the Trustee \$15,000 and to waive its claims filed in the estate in exchange for the Trustee's	0.00	Unknown		15,000.00	FA

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 12-13151-SCC  
**Case Name:** GREENSTEIN, STEVEN LEON

**Trustee:** (521090) Alan Nisselson, Trustee  
**Filed (f) or Converted (c):** 07/20/12 (f)  
**§341(a) Meeting Date:** 08/16/12  
**Claims Bar Date:** 10/15/13

**Period Ending:** 11/02/15

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property <u>Abandoned</u> OA=\$554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					
release of his avoidance claims. That Stipulation was approved by Order dated 11/14/2014. (Doc. No. 55).					
15 Assets Totals (Excluding unknown values)	\$679,782.24	\$39,251.11		\$25,000.00	\$0.00

#### Major Activities Affecting Case Closing:

On 4/20/2015, the Trustee prepared the TFR and submitted the TFR to the UST.  
On 5/6/2015, the UST approved the TFR  
On 6/30/2015, the Final Hearing was held.  
By Order dated 6/29/2015, the Court approved final professional compensation applications.  
The Trustee made Final Distributions on 7/6/2015.  
On 10/7/2015, the Trustee filed a motion to deposit unclaimed funds.  
On 11/2/2015, the Trustee prepared the TDR.

**Initial Projected Date Of Final Report (TFR):** December 14, 2015

**Current Projected Date Of Final Report (TFR):** April 20, 2015 (Actual)

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-13151-SCC  
**Case Name:** GREENSTEIN, STEVEN LEON

**Trustee:** Alan Nisselson, Trustee (521090)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*0666 - Checking Account  
**Blanket Bond:** \$81,410,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*2514  
**Period Ending:** 11/02/15

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/03/14	{10}	LF USA Inc.	Sale of Stock and Options	1129-000	10,000.00		10,000.00
01/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		11.50	9,988.50
02/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.40	9,975.10
03/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.86	9,961.24
04/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		15.28	9,945.96
05/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.30	9,931.66
06/10/14	101	International Sureties, Ltd.	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/10/2014 FOR CASE #12-13151, Bond # 016030120 for 6/19/14 - 6/19/15	2300-000		5.69	9,925.97
06/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.80	9,912.17
07/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		15.68	9,896.49
08/29/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.75	9,882.74
09/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		15.16	9,867.58
10/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.66	9,852.92
11/18/14	{15}	American Express Travel Related Services	Settlement	1241-000	15,000.00		24,852.92
11/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.50	24,834.42
12/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		40.48	24,793.94
01/05/15	102	International Sureties, Ltd.	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 01/05/2015 FOR CASE #12-13151, Bond # 016027942, 1/1/15 to 1/1/16 Voided on 01/05/15	2300-003		14.52	24,779.42
01/05/15	102	International Sureties, Ltd.	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 01/05/2015 FOR CASE #12-13151, Bond # 016027942, 1/1/15 to 1/1/16 Voided: check issued on 01/05/15	2300-003		-14.52	24,793.94
01/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		35.66	24,758.28
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		33.23	24,725.05
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		37.93	24,687.12
07/06/15	103	Alan Nisselson, Trustee	Dividend paid 100.00% on \$3,250.00, Trustee Compensation; Reference:	2100-000		3,250.00	21,437.12
07/06/15	104	Alan Nisselson, Trustee	Dividend paid 100.00% on \$29.00, Trustee Expenses; Reference:	2200-000		29.00	21,408.12
07/06/15	105	Windels Marx Lane & Mittendorf, LLP	Dividend paid 100.00% on \$8,700.00, Attorney for Trustee Fees (Trustee Firm); Reference:	3110-000		8,700.00	12,708.12

Subtotals : \$25,000.00 \$12,291.88



## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-13151-SCC  
**Case Name:** GREENSTEIN, STEVEN LEON

**Trustee:** Alan Nisselson, Trustee (521090)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*0666 - Checking Account  
**Blanket Bond:** \$81,410,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*2514  
**Period Ending:** 11/02/15

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/06/15	106	Windels Marx Lane & Mittendorf, LLP	Dividend paid 100.00% on \$280.33, Attorney for Trustee Expenses (Trustee Firm); Reference:	3120-000		280.33	12,427.79
07/06/15	107	Joseph A. Broderick, P.C.	Dividend paid 100.00% on \$450.00, Accountant for Trustee Fees (Other Firm); Reference:	3410-000		450.00	11,977.79
07/06/15	108	Broome & Wellington LP	Final Distribution for Claim No. 3.	7100-000		11,675.17	302.62
07/06/15	109	Homestead International Group, Ltd.	Final Distribution for Claim No. 4. Stopped on 10/07/15	7100-004		302.62	0.00
10/07/15	109	Homestead International Group, Ltd.	Final Distribution for Claim No. 4. Stopped: check issued on 07/06/15	7100-004		-302.62	302.62
10/07/15	110	United States Bankruptcy Court	Unclaimed Funds	7100-000		302.62	0.00

<b>ACCOUNT TOTALS</b>	<b>25,000.00</b>	<b>25,000.00</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	0.00	
<b>Subtotal</b>	<b>25,000.00</b>	<b>25,000.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$25,000.00</b>	<b>\$25,000.00</b>	

Net Receipts :	25,000.00
Net Estate :	\$25,000.00

	Net Receipts	Net Disbursements	Account Balances
<b>TOTAL - ALL ACCOUNTS</b>			
Checking # *****0666	25,000.00	25,000.00	0.00
	<b>\$25,000.00</b>	<b>\$25,000.00</b>	<b>\$0.00</b>